FGSR Council Meeting

April 25, 2018

Agenda

1. Call to Order
2. Approval of the Agenda
3. Approval of the Minutes from the December 13, 2017 meeting
4. Business Arising
   a. Re-Iteration: importance of timely submission of External Examiner form
   b. New online admissions portal and electronic workflow CRM
5. Dean's Report
6. Associate Dean's Report
7. Committee Reports
   a. Executive - nothing to report since December Council
   b. Awards
   c. Research
8. Report from the Office of Innovation and Community Engagement
9. Report on CASE Committee of Community Engaged Research, and CLARI
10. Report from the Graduate Studies Office
11. Report from the Research Grants Office – will be covered in Research Comm and Dean's Reports
12. Report from the Research Ethics Office
13. New/Other Business
14. Adjournment

Business Arising from the Minutes

- Timely submission of External Examiner form (Masters, PhD**) <see next slide>**

- Coming … new online workflow for ALL graduate applications ("EZrecruit" web-portal)

Urgent: MSc Defence Chair needed

- Tomorrow!!
- **26-Apr-18**
- **10:00 AM   AT 216**
- **Student: Vinod Bachhao**

- **Title: Supervisory Control Interface for Experimental Hybrid Wind & Solar Energy Systems**

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Dean's Report

Renewal of Strategic Research Plan

- Renewal coupled with renewal of SMU Academic Plan

- Running late…didn't get moving this academic year – but consultations will launch in the fall.

- VPAR has established an Advisory Committee to help guide the consultations

Current Major Themes in SMU Strategic Research Plan
(themes drive the fields of our Canada Research Chairs)

- Research for Atlantic Canada Communities

- Innovation in Business and Workplace Studies

- The Environment and Natural Resources

- Research in Astronomy, Computational Sciences, and Subatomic Physics

- Research in International Development and Human Migration Issues

Karly Kehoe (Atl Can. Studies) 2016-20

Kevin Kelloway (Occ Health & Safety) 2015-22*
Mohammad Rahaman (Finance) 2017-22
Najah Attig (Finance) 2016-20*

Christa Brosseau (Chemistry) 2016-21
Todd Ventura (Geology) 2016-21

Marcin Sawicki (Astrophysics) 2017-23
Ivana Damjanov (Astrophysics) 2017-22

Gavin Fridell (Int Dev Studies) 2017-22*

Blue means Tier I (others are Tier II)
* means no further renewal (others can be)

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Will be holding (FINALLY!) an official Announcement and Welcome to our last 2 CRCs that were appointed: Mohammad and Ivana

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Current Major Themes in SMU Strategic Research Plan (themes drive the fields of our Canada Research Chairs)

- NEWS: CRC program did a re-allocation exercise and released results recently (done ~every 5 years)

- SMU was reduced in our CRC allocation by 1 SSHRC CRC

- We are working with CRC to get an approved plan of how we will manage the transition (nobody loses their position, it will be managed with the next two to not be renewed)

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Dean's Report

- New MPHEC approved programs:

  - Internatioal Masters of Teaching English

- Senate-approved programs (pending MPHEC approval):

  - Graduate Certificate in Applied Economics

- Programs under active development:

  - PhD in Business Administration (Accounting)

  - PhD in Business Administration (Finance)

  - Graduate Certificate in Data Analytics
    (subset of MsCDA); pending external review

  - MA in Linguistics, Literature, and Culture
    (NOI submitted)

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External Funding

- We have been seeing increasing success at garnering Research Grant and Contract awards from "non Tri-Agency" sources

- Won't document these today (but see later report by Director of OICE, Kevin Buchan)

- AND planning for an event in the fall to recognize ALL externally funded professors at SMU

NSERC Results – Disc Grants

Year	Discovery			Cda Success Rate	Sml Univ Success Rate
	Applications	Successful	Success Rate		
2006	14	10	71%		
2007	26	17	65%		
2008	14	11	79%		
2009	12	6	50%		
2010	14	7	50%	58%	37%
2011	11	5	45%	58%	35%
2012	16	8	50%	62%	40%
2013	13	2	15%	58%	39%
2014	18	8	44%	64%	41%
2015	14	7	50%	65%	44%
2016	11	6	55%	66%	43%
2017*	11	7	64%	66%	46%
2018	**12**	**7**	**58%**	tba	tba
Mean	14.5	7.8	53%	62%	40%

*includes 2 DDG awards

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NSERC Results - Subatomic

Year	SAP		
	Applications	Successful	Success Rate
2006	1	1	100%
2007	3	2	67%
2008	1	1	100%
2009	2	2	100%
2010	2	2	100%
2011	1	1	100%
2012	2	2	100%
2013	3	0	0%
2014	1	0	0%
2015	4	3	75%
2016	0	0	NA
2017	1	1	100%
2018	**1**	**1**	**100%**
Mean	1.8	1.3	79%

NSERC Results - RTI

Year	RTI		
	Applications	Successful	Success Rate
2006	3	1	33%
2007	0	0	NA
2008	4	0	0%
2009	4	0	0%
2010	2	1	50%
2011	3	0	0%
2012	4	0	0%
2013	1	1	100%
2014	1	0	0%
2015	1	1	100%
2016	2	0	0%
2017	3	0	0%
2018	**2**	**0**	**0%**
Mean	2.3	0.3	24%

NSERC Results - All

Year	All Three Programs			
	Applications	Successful	Success Rate	New Funding (/yr)
2006	18	12	67%	$ 292,212
2007	29	19	67%	$ 526,411
2008	19	12	63%	$ 373,354
2009	18	8	44%	$ 314,000
2010	18	10	56%	$ 422,373
2011	15	6	40%	$ 262,000
2012	22	10	45%	$ 251,920
2013	17	3	18%	$ 73,013
2014	20	8	40%	$ 193,052
2015	19	9	47%	$ 546,052
2016	13	6	46%	$ 219,000
2017	15	8	53%	$ 371,480
2018	**15**	**8**	**53%**	**$ 642,350**
Mean	18.0	9.8	56%	

SSHRC Results – SRG/IG

Year	Success-ful	4A'ed/3rd sextile	Unsuccess-ful	Total	SMU Success Rate	Atl Cda Success Rate	National Success Rate	New Funding (full amt)	3-Year running average
2006 - SRG	8	8	11	27	29.6%	28.4%	40.4%	$ 765,055	
2007 - SRG	4	3	9	16	25.0%	19.7%	33.2%	$ 321,612	
2008 - SRG	6	4	5	15	40.0%	24.1%	33.1%	$ 566,996	$ 551,221
2009 - SRG	1	4	7	12	8.3%	19.9%	32.7%	$ 151,500	$ 346,703
2010 - SRG	4	8	11	23	17.4%	21.5%	36.3%	$ 178,241	$ 298,912
2011 - SRG	11	3	12	26	42.3%	28.0%	37.0%	$ 681,616	$ 337,119
2012 - IG	3	5	6	14	21.4%	17.9%	27.0%	$ 253,514	$ 371,124
2013 - IG	5	5	5	15	33.3%	18.4%	18.4%	$ 660,942	$ 532,024
2014 - IG	0	3	9	12	0.0%	14.3%	23.0%	$ -	$ 304,819
2015 - IG	0	3	7	10	0.0%	20.5%	23.4%	$ -	$ 220,314
2016 - IG	1	3	5	9	11.1%	34.4%	31.1%	$ 76,227	$ 25,409
2017 - IG	1	0	9	10	10.0%	30.5%	40.0%	$ 60,537	$ 45,588
2018 - IG	**4**		**8**	**12**	**33.3%**	**tba**	**tba**	**$ 353,082**	**$ 163,282**
Mean	4.6	4.8	8.3	17.8	24.9%	21.4%	31.2%	$ 394,286	

SSHRC Results - IDG

Year	Success-ful	4A'ed/3rd sextile	Unsuccess-ful	Total	SMU Success Rate	Atl Cda Success Rate	National Success Rate
2011 - IDG	1	3	4	8	12.5%	27.1%	39.0%
2012 - IDG	0	6	4	10	0.0%	29.8%	35.1%
2013 - IDG	1	4	7	12	8.3%	18.8%	29.8%
2014 - IDG	2	3	7	12	16.7%	21.2%	25.3%
2015 - IDG	3	1	11	15	20.0%	21.0%	23.1%
2016 - IDG	1	1	12	14	7.1%	18.0%	23.1%
2017 - IDG	**6**	**5**	**14**	**25**	**24.0%**	**23.4%**	**32.1%**
Mean	2.0	3.3	8.4	13.7	12.7%		

SSHRC Results - PDG

Year	Successful	4A'ed/3rd sextile	Unsuccessful	Total	SMU Success Rate
2010	0	0	2	0	0.0%
2011	0	0	1	0	0.0%
2012	2	2	0	4	50.0%
2013	0	2	0	2	0.0%
2014	0	2	0	2	0.0%
2015**	2	0	3	5	40.0%
2016	0	0	1	1	0.0%
2017	**0**	**0**	**1**	**1**	**0.0%**
Mean	0.4	0.7	0.9	1.9	11.3%

**Successes under the SSHRC/CIHR PDG-HPW program

SSHRC Results – ARW/PO/Connection

Year	Successful	4A'ed	Unsuccessful	Total	SMU Success Rate
2010 - ARW	2	0	0	2	100.0%
2011 - ARW	1	0	1	2	50.0%
2011 - PO*	1	0	1	2	50.0%
2012 - 13 C	2	0	0	2	100.0%
2013 - 14 C	1	0	1	2	50.0%
2014 - 15 C	3	1	2	6	50.0%
2015 - 16 C	2	0	2	4	50.0%
2016 - 17 C	2	1	0	3	66.7%
2017 – 18 C	**2**	**2**	**3**	**7**	**28.6%**
Mean	1.8	0.4	1.1	3.0	60%

External Funding:
Notes for Coming Year

- We (SMU) plan to investigate a renewal of our overhead policy on research contracts
  - current policy is perhaps too complicated –looking to simplify to make transparent to all parties.

- Tri-Agency moving forward with Research Data Management policies
  - We will be working with Library (Peter Webster) to help researchers
  - ACENET workshop @ Library, May 25

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Other Graduate Studies Issues

- Will be look to change our governance structure to match other Faculties:
  - current Executive ➔ Curriculum
  - current Grad Studies Committee ➔ Executive
  - will explore these (and how to do it) and seek input as we do so
- <u>Thesis Defenses</u>: look to harmonize policies and protocols amongst programs
- <u>Admissions</u>: as we implement "EZrecruit" webportal, discuss admissions process with each program to ensure online captures your process
- **<u>NEW PEOPLE!</u>**
  - **Associate Dean candidate: Dr. Colleen Barber**
  - **New Graduate Studies Officer soon**

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Associate Dean's Report

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Committee Reports

Awards Committee Report

- Met in March for the Tri-Council CGS-M competition.

- Met previously for Doctoral scholarship (PGS-D) recommendations

- Will need to also meet again to manage manage upcoming:
  - nominations for Governor General Medal "best thesis" award
  - NSHRF, NSGS nominations/applications

Research Committee Report

- 52 Internal Faculty Research Grants awarded totaling $149,778

- 19 Supplementary International Conference Participation Travel Grants ($1000 each)

- (New Faculty internal research grants are adjudicated in the fall)

- Met yesterday to review "Course Release for Research" applications – see next slide

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News related to
Course Release for Research
Application process/timing:

- Starting with the next academic year's requests (2019-20), application timing will be moved to coincide with Departmental "Schedule 2" (teaching schedule/assignment) processes

- **GOAL: simplify/clarify normal teaching assignment process – so "releases" are known by Chairs at time of required scheduling**

- **TIMING: WILL BE DUE NOVEMBER 1st each year**

- **SIMPLIFY/CLARIFY eligibility: must be in receipt of external funding <u>at time of application</u>**
  (e.g. so will still have 3 years of eligible release for 3 year grants)

President's Award for Excellence in Research

- Call for nominations to be submitted to the nominee's faculty Dean

- Criteria are Productivity, Creativity, Influence and Impact, Recognition

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Reports from the FGSR Officers

- Office of Innovation and Community Engagement

- CASE Committee on CER, and CLARI

- Graduate Studies Officer

- Research Grants Officer

- Research Ethics Officer

Recent Highlights

~$2.3 million in research contracts since last Faculty Council Meeting (December)

- Dr. Danika van Proosdij ($1.8 million DFO, $130,000 Province)
- CBWES
- Immigration Settlement Fund
- Offshore Energy Research Association
- Canada Space Agency
- Natural Science and Engineering Research Council of Canada
- CBCL Limited
- NS Community Culture and Heritage

Springb⌀ard
Bringing Research to Life
Faire vivre la recherche

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Recent Highlights

Research Expo, March 2, 2018

- Over 180 participants
- New connections with industry and funders
- Pitches were well received

Springboard
Bringing Research to Life
Faire vivre la recherche

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Science and Technology Assistance Program

National Research Council – Industrial Research Assistance Program (NRC-IRAP)

- OICE receives support from NRC-IRAP
- Funds allow eligible companies to work with researchers on a scientific or technical challenge
- 2018-19, funds have doubled
- 3 to 5 days of a researchers time
- Maximum $5,000 (including overhead)
- Proposed projects must be vetted through NRC-IRAP
- If you have a company in mind, come and speak to us.

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NS Productivity and Innovation Voucher Awards

Purpose: is to provide registered Nova Scotian companies with an opportunity to work with university/college researchers to improve the productivity and innovation of a product, service or process.

- Competition should open up ~May 15th
- Tier 1 - $15,000
- Tier 2 - $25,000
- No cash contribution required from company

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CLARI and CASE Community Engaged Projects

CLARI

- 8 projects awarded across CLARI network
- ~$60,000 total
- Partners include: Scott's Bay Community Association, African Community Co-op Investment Canada, Horizon Achievement Centre, Halifax Regional Police

CASE

- 6 projects awarded across SMU
- ~$45,000 total
- Partners include: Halifax Refugee Clinic, Cape Breton Highlands Academy, LOVE (Leave Out Violence)

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Office of Innovation and Community Engagement

Kevin Buchan, Director
kevin.buchan@smu.ca

Danielle Goodfellow, Industry Liaison Officer
danielle.goodfellow@smu.ca

Vanisa Omicevic, Coordinator
OICE@smu.ca

Springboard
Bringing *Research* to Life
Faire vivre la **recherche**

Thank you

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CASE Committee on CER, and CLARI

CLARI

- Ray MacNeil (Network Manager) active across our provincial PSE partners – making connections within our institutions and with community partners.

- "SenseMaking" workshops held last week

- Provided seed funding to engage community-engaged research partnerships. This first-round has been awarded; likely will do another round in coming year.

Project Name	$ Approved	Faculty/ PI	Institution	Partner
Voices of Women - Experiences of Justice	$7,086	Diane Crocker	SMU	Be The Peace Institute
An evaluation of 'fair and impartial policing': implicit bias training	$7,500	Meg Ternes	SMU	Halifax Regional Police
No) Dogs Allowed?: Towards a Model of Canine Residency in Long-term Care	$6,842	Ardra Cole	MSVU	Northwood Care
Youth experiences when engaging in an arts-based entrepreneur program in a rural Nova Scotia community	$7,500	Sarah Reddington	MSVU	Youth Art Connection
Community Strategic and Engagement Plan for Tidal Energy Development	$7,500	John Colton	ACA	Scots Bay Community Assoc
Skills Development and Knowledge Sharing for Cultural Food Security for Newcomer Immigrant and Refugees Living in HRM	$7,458	Jennifer Brady	MSVU	African Community Coop Investment Canada
Evaluation of an Online, Comprehensive Client Database: A Pilot Test	$7,500	Carol Pendergast (non_CLARI), Heather Schmidt, Leslie Wardley	CBU	Horizon Achievement Centre
Improving Outcomes for Incarcerated People Returning to the Community	$6,750	Margaret Dechman	CBU	Community Partners - Connecting with Corrections

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CASE – Community Engaged Research

- ALSO Provided seed funding to engage community-engaged research partnerships. This first-round has been awarded; likely will do another round in coming year.

- (I think we still have 1-2 proposals that we haven't finished evaluating yet…)

Project Name	$ Approved	Faculty/ PI	Institution	Partner
Youth Experiences with LOVE Nova Scotia	$7,500	Leanna Closson	SMU	LOVE (Leave Out Violence)
Repatriating Archival Documentation on Living Histroy of Peoples of thte Inuvialuit Settlement Region	$7,500	Val Johnson	SMU	Inuvialuit Cultural Resource Centre
Counting Claims of Violence: Documenting and Analysing Refugee Claims Made Through Halifax Refugee Clinic	$7,500	Evie Tastsoglou	SMU	Halifax Refugee Clinic
SMU Junior Research Leadership Program	$7,500	Karly Kehoe	SMU	Cape Breton Highlands Academy, Dalbrae Academy
Cupriavidus metallidurans	$7,500	Linda Campbell	SMU	IOTA
Survey Instrument Development	$7,500	Mark Raymond	SMU	EfficiencyOne

Graduate Studies Officer Report

FGSR Faculty Council
25 April 2018

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FACULTY OF GRADUATE STUDIES AND RESEARCH

2018 FGSR Admissions
As of April 20, 2018

27% ⬆

1154 **Applications Received to Date (**April 18, 2017 = 907**)**

148 **Domestic** (April 18, 2017 = 189)   **21% ⬇**

1006 **International** (April 18, 2017- = 718)

402 **Offers of Admission Have Been Sent to Date**

(April 18, 2017 = 307)

Total Number of Graduate Students

- As of April 20, 2018 there were **597** Registered Graduate Students at Saint Mary's University

Enrolment by program as of April 20, 2018

Program	# of registered students
MBA	85
MFIN	71
MSc CDA	57
MSc Applied Science	46
MMCCU	39
Visiting	39
EMBA	30
MTEI	27
MBA - CPA Stream	25
PhD Business Admin	23
MSc Applied Psychology	23
PhD I/O Psychology	16
MA IDS	16
MA WGS	14
MA Atlantic Canada Studies	12
MA Criminology	12
PhD Applied Science	11
Master of Applied Economics	10
PhD IDS	7
MAHSR	7
MA History	7
PhD Astronomy	5
MSc Astronomy	5
MA Geography	4
MA Philosophy	4
MA Theology & Religious Studies	2
TOTAL	**597**

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External Student Funding 2018-19

SSHRC

8 SMU students were offered J. Armand Bombardier CGSM

Scholarships ($17,500 each over 1 year)

External Student Funding 2018-19

NSERC

3 SMU Students were offered Alexander Graham Bell CGSM Scholarships ($17,500 each over 1 year)

1 SMU Student was offered an NSERC CGSD3 scholarship ($35,000 per year for 3 years)

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External Student Funding 2018-19
CIHR

1 SMU student was offered a Frederick Banting and Charles Best CGSM Scholarship ($17,500 over 1 year)

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External Student Funding
2018-19
NSHRF

Nova Scotia Health Research Foundation Scotia Scholarships will be offered to SMU students in the coming month in the amount of $56,000.

External Student Funding
2018-19
<u>NSGS</u>

Nova Scotia Graduate Scholarships will be offered to SMU students in the coming months in the Amount of $335,000.

2017 Graduates

January 2017

53 Master's Students

1 Ph.D. Student

May 2017

68 Master's Students

7 Ph.D. Students

September 2017

119 Master's Students

7 Ph.D. Students

1 Graduate Diploma

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Research Ethics Board (REB)

Office of Research Ethics (ORE)

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Research Ethics Requests

Chart legend: Fall Term, Winter Term, Total

Categories (left to right):
- Exemption Review
- Form 1: Student
- Form 1: Faculty
- Form 1: Staff
- Form 1: Course
- Form 1C- Canadian REB
- Unanticipated Issues
- Form 2: Modification
- Form 3: Renewal
- Form 5: End of Study

Groupings:
- Initial REB Review (Art. 2.1)
- Continuing Ethics Review (Art. 2.8)
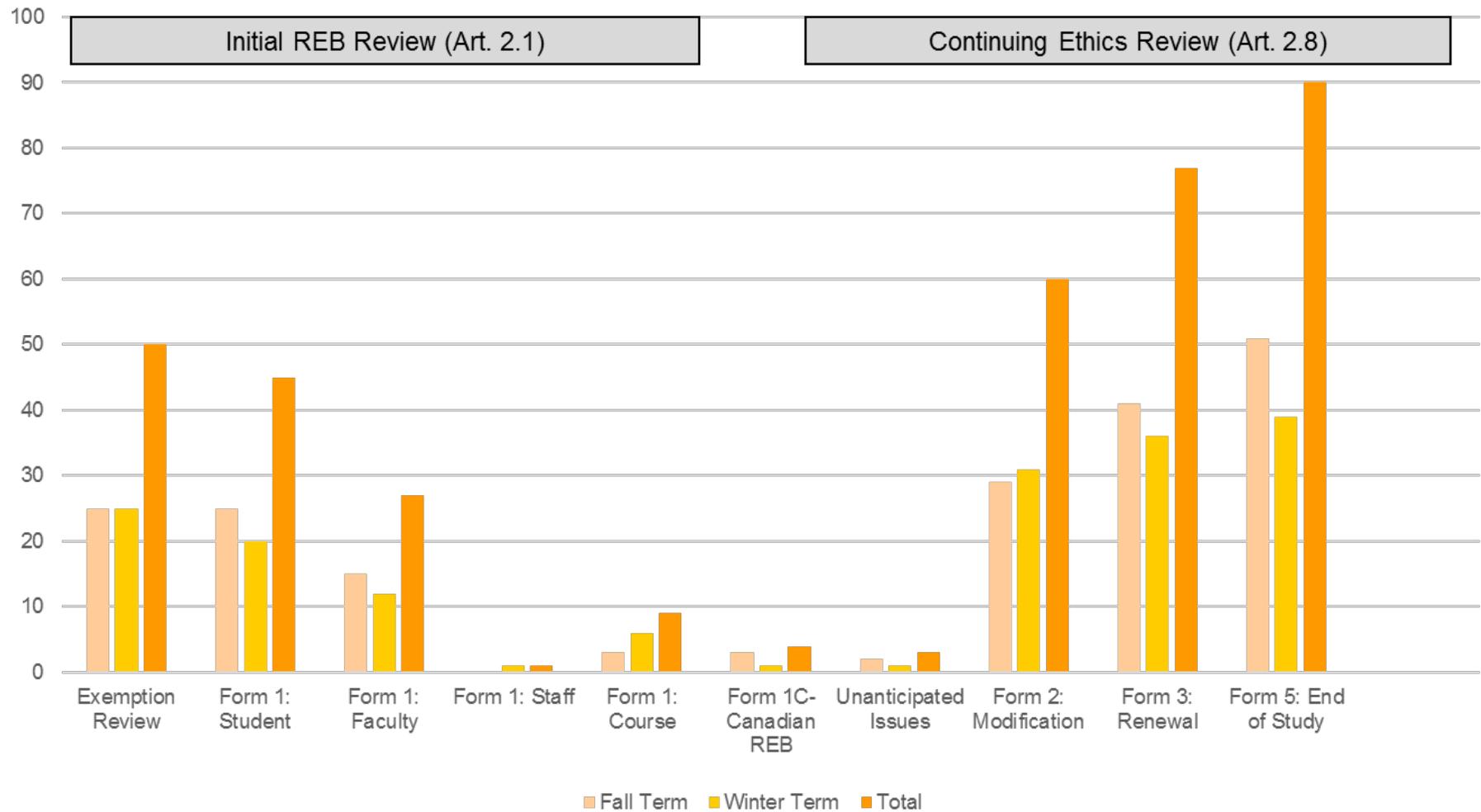
Article references:
- Art. 2.2,2.2,2.4,2.5
- Art. 2.1, 6. 10, 6.11, 6. 12, 6. 13, 6.17
- Art.6.15
- Art.6.16
- Art.6.14
- Art.6.14

New Submission and Continuing Review Successes

- High level of checking in whether a study needs to be submitted to the REB or is exempt- Good job SMU!

- High level of checking in about the status of applications and continuing review requests and getting up-to-date information about where the request is in the process of being approved

- Number of faculty members joining the REB to assist with reviewing applications and contributing opinion to the REB membership about their program and methodologies

- Thank you to all who submit renewal and closure requests on time!

New Submission and Continuing Review Common Errors

- We are missing documents from the application package to be able to register and assign a SMU REB # to the application and send to reviewers asap

- We are missing revised documents to be able to provide final or continuing approval so the study can start- check your versions and maintain good records per best practices

- We are missing renewal and closure forms; in place of receiving them 30 day prior to the approval's expiry, we receive them late creating freezing in funding sources and lapses in REB approvals, data obtained without REB approval must be destroyed

- There needs to be increased communication and general consultations with the Office of Research Ethics so direction can be provided, reach out to us so we can work together throughout the lifecycle of the study

General Reminder and Updates

- Keep inviting us to your class to introduce ourselves and explain the process, let you know that guidance is available!

- Education and training to REB members is both "upon appointment" and "periodically, (TCPS, 2. Art. 6.1, 6.2, 6.4, 6.7, 6.12) for reviews, administration, REB members, researchers, students, officer, community members, substitutes; provision of materials and corresponding instruction

- New Chair, New Vice Chair, 8 new members (Faculty, HRM Community)

- We continue to strive filling membership from all departments we receive submissions from
  - ➢ Seeking Management, Marketing, Accounting, Religious Studies, Anthology, IDS service

- Updating our operational procedures, practices and policies. Concentration past term was on finalizing the policy for Full Board Reviews based on SMU experinces and feedback from the community

- Reminder! In Canada and at SMU, we use the language "**participants**" not "subjects" of research and "**clearance**" not "approval"

Now available via Ottawa, The Panel on Research Ethics

"Taking Part In Research"
An educational brochure for participants of research!

Compliance

**30 days prior the research ethics clearance expiry,
do you need to submit an
Annual Status Report or an End of Study Report?**

- ✓ The form can be submitted electronically
- ✓ Signatures are not required on the electronic forms
- ✓ Must be received by the PI, student involvement not necessary nor can student submit on their own without the supervisor
- ✓ Please contact the office or ethics.continuingreview@smu.ca for direction and clarification when not sure or have any questions so we can help!
- ✓ The master file for each research is available in the office

Research ethics compliance affects the health of our research program!

Final Updates (from REB Chair)

- Coming in Fall: 2 co-Chairs of REB
  - Jason Ivanoff (current chair)
  - Veronica Stinson (chair last fall)

- Coming soon'ish (likely by Fall 2019):
  - web-portal REB form access and submittal ("ROMEO" portal)

- Research Data Management policy coming from Tri-Agency will impact REB via TCPS policy update

Current Committee

Dr. Veronica Stinson	Psychology	Chair, Faculty
Dr. Audrey MacNevin	Sociology	Vice Chair. Faculty
Dr. Jason Ivanoff	Psychology	Incoming Chair, Faculty
Dr. Michele Byers	Women & Gender Studies	Faculty
Dr. Nicole Conrad	Psychology	Faculty
Ms. Nicole Carter	Patrick Power Library	Staff
Dr. Ashley Carver	Criminology	Faculty
Dr. Leanna Closson	Psychology	Faculty
Dr. Scott Edgar	Philosophy	Faculty
Mr. Matthew Elliott	Government	HRM Community
Prof. Chantelle Falconer	Sociology	Faculty
Dr. Randle Hart	Sociology	Faculty
Dr. Eric Henry	Anthropology	Faculty
Dr. Jamie Livingston	Criminology	Faculty
Dr. Nicolas Roulin	Psychology	Faculty
Ms. Orshy Torok	Office of Research Ethics	Staff
Dr. Jayne Wark	Art History/NSCAD	Faculty
Dr. Augie Westhaver	Sociology	Faculty
Mr. Keif Godbout-Kinney	Women & Gender Studies	Graduate Student
Mr. Brendan Grue	Engineering	Graduate Student
Ms. Lindsay Logie	Legal	HRM Community
Dr. Iva Pauker	Politics	HRM Community

ethics@smu.ca

New/Other Business

Motion to Adjourn?